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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: March 30, 2006 Name: Tadashi Horie, Registration No. 40,437 Signature: Tadashi Horie

BRINKS
HOFER
GILSON
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Masayuki Tsuda et al.

Appln. No.: 10/810,503

Filed: March 26, 2004

For: TERMINAL DEVICE AND PROGRAM

Attorney Docket No: 9683/177

Examiner: To Be Assigned

Art Unit: 2122

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Transmittal Letter (in duplicate); Second Supplemental Information Disclosure Statement; PTO 1449 along with seven (7) cited reference C4-10; Copy of Search Report.
- ☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

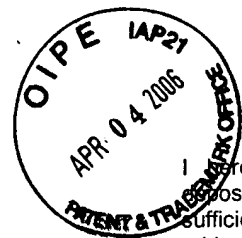
Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

3/30/2006
Date

Tadashi Horie
Tadashi Horie (Reg. No. 40,437)



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on March 30, 2006

Date of Deposit

Tadashi Horie, Registration No. 40,437

Name of applicant, assignee or
Registered Representative

Tadashi Horie

Signature

3/30/2006

Date of Signature

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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following references:

US PATENTS

No.	Date of Publication	Patentee/Applicant/Assignee
US 6,092,194	July 18, 2000	Touboul
US 6,430,549	August 6, 2002	Gershfield et al.
US 2002/174359	November 21, 2002	Haltmeyer

FOREIGN PATENT DOCUMENTS

No.	Date of Publication	Patentee/Applicant/Assignee
EP 0 547 741	June 23, 1993	
DE 197 17 900	October 30, 1997	
GB 2 312 767	November 11, 1997	
WO 02/41150	May 23, 2002	

OTHER ART

Rechenberg P. et al., "Informatik-Handbuch, Passage" 2002
Sandhu R. S. et al, "Access Control: Principles and Practice", IEEE Communications Magazine, IEEE Service Center, New York, NY, US, vol. 32, no. 9, September 1, 1994
Oaks S. "Java Security, The Default Sandbox", Java Security, May 2001

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References C1-5 and 7-10 were cited in a Search Report issued for the counterpart EP application. A copy of the Search Report is also attached to this Statement. Reference C5 is in Germany. Applicant is submitting reference C6, which is the GB counterpart of reference C5.

Applicant respectfully requests the Examiner's consideration of the above references and entry thereof into the record of this application.

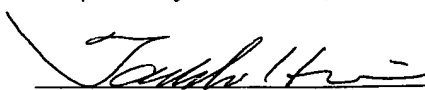
By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

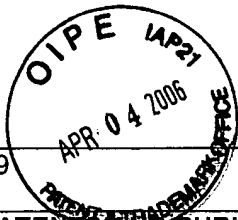
Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

March 30, 2006

Date


Tadashi Horie, Registration No. 40,437



FORM PTO-1449	SERIAL NO. 10/810,503	CASE NO. 9683/177
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE March 26, 2004	GROUP ART UNIT 2122
(use several sheets if necessary)		APPLICANT(S): Masayuki Tsuda et al.

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	C1	US 6,092,194	07/18/2000	Touboul		
	C2	US 6,430,549	08/06/2002	Gershfield et al.		
	C3	US 2002/174359	11/21/2002	Haltmeyer		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	C4	EP 0 547 741	06/23/1993	EP		Yes
	C5	DE 197 17 900	10/30/1997	DE		GB 2 312 767
	C6	GB 2 312 767	11/11/1997			Yes
	C7	WO 02/41150	05/23/2002	WO		Yes

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	A8	Rechenberg P. et al., "Informatik-Handbuch, Passage" 2002
	A9	Sandhu R. S. et al, "Access Control: Principles and Practice", IEEE Communications Magazine, IEEE Service Center, New York, NY, US, vol. 32, no. 9, September 1, 1994
	A10	Oaks S. "Java Security, The Default Sandbox", Java Security, May 2001

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.